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ORIGINAL**BEFORE THE ARIZONA CORPORATION COMMISSION****RECEIVED**

Arizona Corporation Commission

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AZ CORP COMMISSION
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COMMISSIONERS:

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

IN THE MATTER OF:

ROBERT W. MANGOLD and MICHELLE M.
MANGOLD, husband and wife;

ONE SOURCE MORTGAGE & INVESTMENTS,
INC., an Arizona corporation;

STRATEGIC EQUITY INVESTMENTS, LLC, an
Arizona limited liability corporation;

Respondents.

DOCKET NO. S-20669A-09-0187

THIRD
PROCEDURAL ORDER
(SCHEDULES A HEARING)**BY THE COMMISSION:**

On April 21, 2009, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Robert W. Mangold and Michelle M. Mangold, husband and wife, One Source Mortgage & Investments, Inc. ("OSMI") and Strategic Equity Investments, LLC ("SEI") (collectively "Respondents") in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes and/or investment contracts.

The Respondents were duly served with copies of the Notice.

On May 4, 2009, a request for hearing was filed by Respondent Robert W. Mangold.

On May 5, 2009, by Procedural Order, a pre-hearing conference was scheduled for May 27, 2009.

On May 27, 2009, at the pre-hearing conference, the Division appeared with counsel and Respondent, Robert Mangold, appeared on his own behalf. No other Respondents entered an appearance or requested a hearing. The Division and Mr. Mangold indicated that they were attempting to reach a resolution on the issues raised by the Notice and stipulated that a status conference be

1 scheduled in approximately 45 days if a Consent Order was not approved by the Commission.

2 On May 28, 2009, by Procedural Order, a status conference was scheduled on July 16, 2009.

3 On July 14, 2009, Mr. Mangold filed a request for a continuance of the status conference. He
4 further indicated the Division did not object to the continuance.

5 On July 15, 2009, by Procedural Order, the status conference was continued to
6 September 17, 2009.

7 On September 17, 2009, at the status conference, the Division appeared with counsel and
8 Mr. Mangold appeared on his own behalf. The Division and Mr. Mangold indicated that they are
9 continuing to negotiate a form of Consent Order. In the interim, the Division requested that a hearing
10 be scheduled in January 2010, and if a settlement is reached will request that the proceeding
11 be vacated.

12 Accordingly, a hearing should be scheduled.

13 IT IS THEREFORE ORDERED that a **hearing** shall be held on **January 25, 2010,**
14 **at 10:00 a.m.** at the Commission's offices, 1200 West Washington Street, Hearing Room 1,
15 Phoenix, Arizona.

16 IT IS FURTHER ORDERED that the parties shall reserve **January 26, 27, and 28, 2010,**
17 for additional days of hearing, if necessary.

18 IT IS FURTHER ORDERED that the parties shall exchange copies of their **Exhibits and**
19 **Witness Lists by December 18, 2009,** with courtesy copies provided to the presiding Administrative
20 Law Judge.

21 IT IS FURTHER ORDERED that the Division shall request that the hearing be vacated if a
22 settlement is concluded prior to the hearing date.

23 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
24 Communications) applies to this proceeding as the matter is now set for public hearing

25 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
26 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to practice of law and admission
27 *pro hac vice*.

28 ...

1 IT IS FURTHER ORDERED that the Presiding Officer may rescind, alter, amend, or waive
2 any portion of this Procedural Order either by subsequent Procedural Order or by ruling at hearing.

3 DATED this 17TH day of September, 2009.


4
5
6 
7 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

8
9 Copies of the foregoing mailed/delivered
this 17th day of September, 2009 to:

10 Robert W. Mangold
11 23251 North 38th Place
Phoenix, Arizona 85050

12 Matt Neubert, Director
13 Securities Division
14 ARIZONA CORPORATION COMMISSION
15 1300 West Washington Street
Phoenix, Arizona 85007

16 ARIZONA REPORTING SERVICE, INC.
17 2200 North Central Avenue, Suite 502
Phoenix, Arizona 85004

18
19 By: 
20 Debra Broyles
Secretary to Marc E. Stern